

**ANNUAL PERFORMANCE REPORT FOR THE YEAR 2020**  
**THE DEPARTMENT OF SRI LANKA COAST GUARD**  
**EXPENDITURE HEAD NUMBER 325**

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## **Chapter 01 - Institutional Profile/Executive Summary**

### **01.1 Introduction**

The Department of Sri Lanka Coast Guard (SLCG) was established after enacting the Coast Guard Act No. 41 of 2009 by the Sri Lanka Parliament on 09<sup>th</sup> December 2009 in order to ensure the protection of life and property at sea, enforcement of national jurisdiction in the maritime zones and awareness towards dangers to ocean resources round the clock. SLCG being a law enforcement agency at sea has been empowered with legal authority to search and arrest ships, craft and personnel engaged in illegal activities in all maritime zones of Sri Lanka and in the high seas. SLCG ceremonially established on 03<sup>rd</sup> March 2010 and rapid progress was made during past years.

### **01.2 Vision, Mission, Objectives of the Institution**

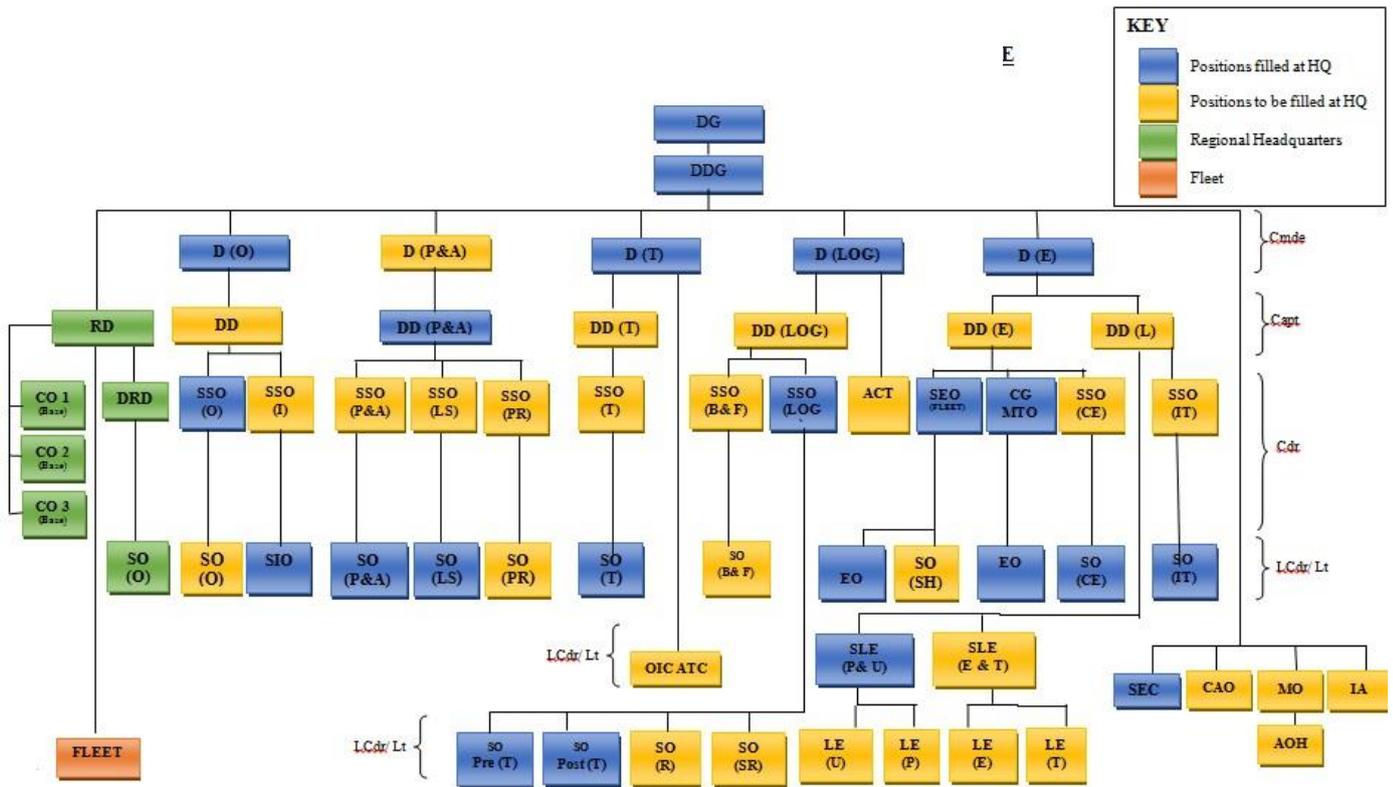
- a. **Vision.** Achieve peaceful maritime environment whilst protecting the national interests.
- b. **Mission.** Ensure the security, safety and serenity of the maritime environment whilst enforcing the law at sea. Coast Guard is further committed towards the sustainable management and development of maritime resources.

### **01.3 Key Functions**

Key functions and duties of SLCG are as follows:

- a. To prevent trans-boundary movement of narcotics, by sea.
- b. To co-operate with the law enforcement agencies and the armed forces by taking necessary measures for the suppression of terrorist and destructive activities occurring in the maritime zones and the territorial waters of Sri Lanka.
- c. To participate in Search and Rescue operations of lives in times of distress and natural catastrophes at sea and to assist and conduct in salvage operations in relation to vessels and other goods after such catastrophes and other accidents at sea.
- d. To prevent Illegal, Unregulated, Unreported (IUU) fishing in the maritime zones of Sri Lanka and the protection of fisherman including render assistance at sea.
- e. To prevent and assist in the preservation and protection of maritime and marine environment.
- f. To initiate and monitor measures required for the prevention and control of marine pollution and other disasters which occur at sea.
- g. To assist the customs and other relevant authorities in combating anti-smuggling and immigration operations.
- h. To ensure safety of life and property at sea.
- j. To assist in the conservation of marine species.
- k. To disseminate information including warning by radio or any other means in times of natural catastrophes.
- l. The performance of such other functions as may from time to time be conferred on it or assigned to it, by the Government.
- m. Safety of personnel using beaches for recreational purposes by deploying SLCG lifesavers.

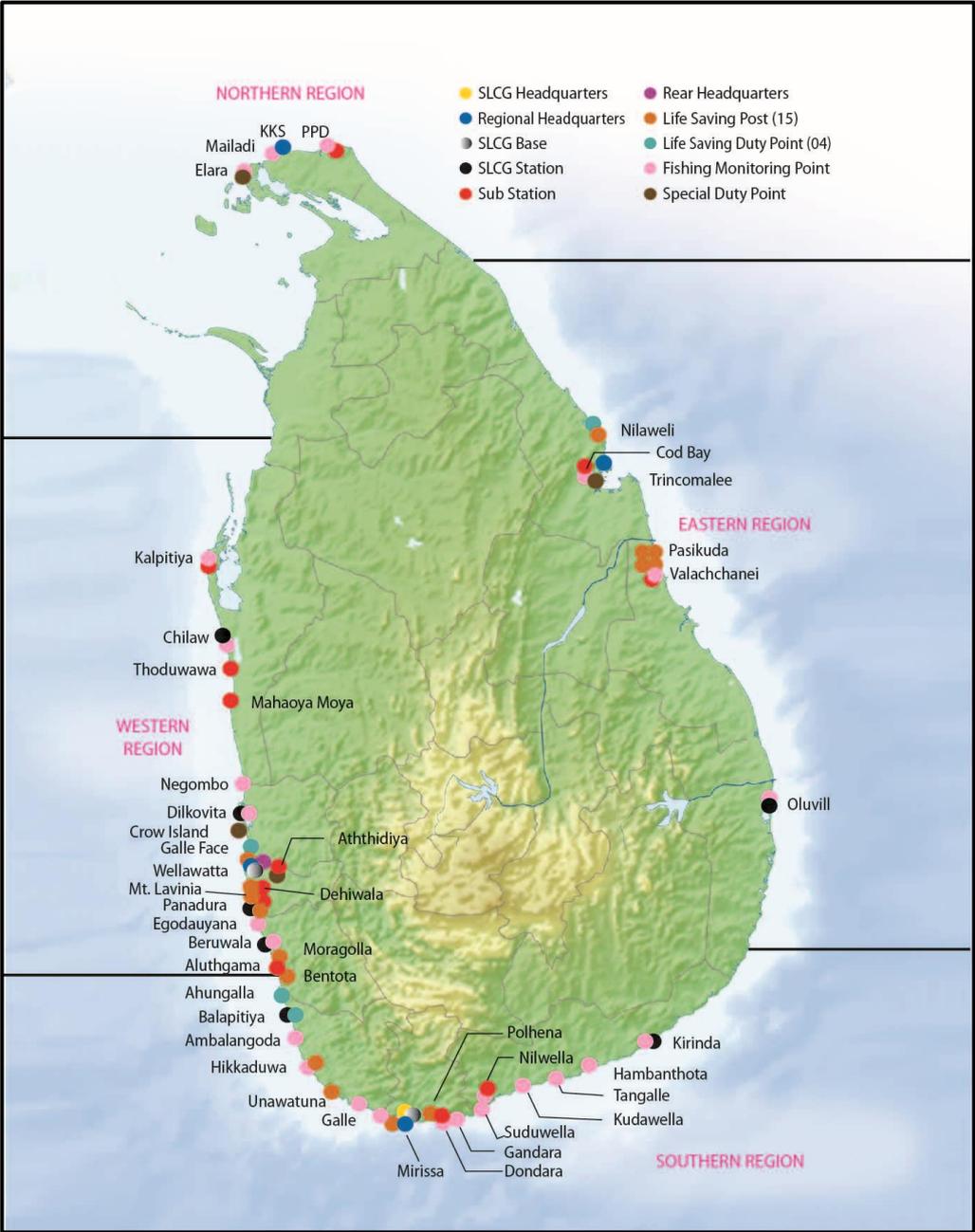
## 01.4 Organizational Chart



## 01.5 Regions under the Department of Sri Lanka Coast Guard

By ensuring the compliance of tasks and functions entrusted, SLCG has deployed its Bases, Stations, Substations, Fishing Monitoring Points (FMPs) and Lifesaving Posts (LSPs) and Fleet units covering whole coastal belt of Sri Lanka. Moreover, for the smooth function and easy administration of the SLCG, four Coast Guard regions have been established covering the entire coastal belt of the country as indicated below:

- a. Coast Guard Northern Region
- b. Coast Guard Eastern Region
- c. Coast Guard Southern Region
- d. Coast Guard Western Region



**01.6 Institutions/Funds coming under the ~~Ministry/Department/Provincial Council~~**

None

**01.7. Details of the Foreign Funded Projects (if any)**

None

**Chapter 02 - Progress and the Future Outlook**

**02.1. Following operations/ activities were conducted by SLCG during the year 2020.**

**a. Life Saving Operations**

SLCG is manning 18 Life Saving posts around the country and conducted lifesaving operations throughout the year. It is significant to note that, in the year 2020, 90 lives including 48 foreigners have been rescued by SLCG lifeguards.

**b. Coastal Surveillance to Deny Illicit Activities**

All Ships and craft belong to SLCG are being utilized to deny illicit activities in territorial waters/maritime zones around the country. 10 numbers of Fast Patrol Boats (FPB) and 02 numbers of Inshore Patrol Craft (IPC) have been deployed in Northern Region and remaining IPC have been deployed at selected main fishery harbours in Western, North-Western and Southern regions. In addition to aforesaid tasks, 01 No's of IPC are deployed for whale watching monitoring duties at the coastal area of off Mirissa.

**c. Monitoring of Illegal, Unregulated and Unreported (IUU) fishing**

24 numbers Fishing Monitoring Points have been established within the fishery harbours around the country in order to support the National Plan of Action to deter IUU Fishing in Sri Lankan waters and high seas. All fishing vessels are inspected before they are proceeding to high seas to ensure the legality of fishing gears and equipment on board, and also on arrival, same checking process is done to ensure the legality of fish catch.

**d. Community Awareness and Social Service Activities**

Several community awareness programmes on Safety of life at sea, Marine pollution prevention & Maritime environment protection, Coastal protection, Lifesaving, Beach cleaning programmes, and importance of mangrove planting for the civilians living along the coastal belt were conducted amidst COVID 19 Pandemic.

**e. Search and Rescue (SAR) Operations**

Assistance rendered to Sri Lanka Navy and Sri Lanka Police to carryout SAR operations in coastal area/ at sea using available resources when requirement arises.

**f. Law Enforcement Operations**

Law enforcement operations have been carried out in territorial waters and rendered necessary assistances to other national law enforcement agencies and government authorities to perform their respective duties. Further SLCG Fleet units executed repatriation operations in collaboration with Sri Lanka Navy and Indian Coast Guard. Sri Lankan Coast Guard repatriated 10 No's of Indian fishing boats in year 2020.

**g. Maritime Environment Protection**

SLCG Oil Spill Response Team is acting as the first respondent of any oil spill incident in the country and SLCG Oil Spill Response Team have successfully responded oil spill incidents in Trincomalee, Donda and Nilaweli, During MT New diamond indecent' Further, under Turtle Conservation Project, preservation of turtles' eggs and release of hatchling Turtles to the sea is continued and under Echo Friendly Project and 'Punarudaya' program, Mangroves were planted along the coast lines adjacent to CG stations and SLCG bases.

**h. International and Regional Cooperation**

Under International and regional co-operation, Sri Lanka Coast Guard maintain close co-operation with UNODC Re CAAP, ball process. The japan Sri Lanka Dialog took place at Foreign ministry in 17<sup>th</sup> September 2020 is also considered as a significant event during year 2020. Further SLCG is planning to attend Tri-lateral exercise "DOSTI" in January 2021 to ensure the regional co-operation among law enforcement agencies

**02.2 Issues, Challenges and Medium-Term Forecast**

a. Sri Lanka has approximately 1600 km long coastline and 21500 km<sup>2</sup> of territorial waters that requires effective patrolling for law enforcement at sea whilst ensuring safe, secure, serene sea. As per the agreements with International Maritime Organization (IMO), the Search and Rescue (SAR) region allocated to Sri Lanka consists of a vast sea area and is almost twenty-seven times the land mass. SLCG finds its maritime challenges appearing in many forms of such as Border security Drug trafficking, Maritime piracy and unlawful act at sea, Illegal, unreported and unregulated (IUU) fishing, Human trafficking and Smuggling and Marine environment pollution.

**b. Operational**

It is obvious that the existing SLCG Fleet is insufficient to cater the present-day requirement of the Coast Guard. Considering the vast SAR region and the responsibilities entrusted to SLCG to maintain safe, secure sea and cleaner marine environment. Therefore, it is essential to enhance operational capabilities and capacity of the SLCG. Furthermore, it is required to establish/upgrade SLCG Bases, Stations, Sub Stations, Life-saving post. Hence, it is proposed to implement following acquisition/up gradation plan for Sri Lanka Coast Guard over medium term.

| <b>SR NO</b> | <b>FLEET UNIT/ ESTABLISHMENTS</b>          | <b>EXISTING UNITS</b> | <b>2022-2025</b>                                   | <b>Total</b> |
|--------------|--|-----------------------|--|--------------|
| 01           | Off-shore Patrol Vessel (OPV)              | 01                    | OPV-01   | <b>02</b>    |
| 02           | Ocean Going Pollution Control Vessel (PCV) | -                     | PCV-01   | <b>01</b>    |
| 03           | Fast Patrol Boat (FPB)                     | 10                    | FPB-01   | <b>11</b>    |
| 04           | Inshore Patrol Craft (IPC)                 | 10                    | IPC-02   | <b>12</b>    |
| 05           | SLCG Bases                                 | 02                    | SLCG Kirinda<br>SLCG Chilaw<br>SLCG Mahaweli       | <b>05</b>    |
| 06           | SLCG Station (CGS)                         | 02                    | CGS Nilaweli                                       | <b>03</b>    |
| 07           | SLCG Substation (SS)                       | 24                    | CGSS Mutuwal<br>CGSS Negambo                       | <b>26</b>    |
| 08           | Life Saving Post (LSP)                     | 18                    | LSP Negambo<br>LSP Preethipura<br>LSP Calido-beach | <b>21</b>    |

|    |                        |    |  |    |
|----|------------------------|----|--|----|
| 06 | SLCG Station (CGS)     | 02 | CGS Nilaweli                                       | 03 |
| 07 | SLCG Substation (SS)   | 24 | CGSS Mutuwal<br>CGSS Negambo                       | 26 |
| 08 | Life Saving Post (LSP) | 18 | LSP Negambo<br>LSP Preethipura<br>LSP Calido-beach | 21 |

ඇ. NOSCOP (ජාතික තෙල් කාන්දුවීමේ හදිසි සැලැස්ම) අනුව තෙල් කාන්දුවීම් සඳහා ප්‍රතිචාර දැක්වීමේ පළමු ආයතනය වනුයේ ශ්‍රී ලංකා වෙරළ ආරක්ෂක දෙපාර්තමේන්තුවයි. තවද, ශ්‍රී ලංකා වෙරළ ආරක්ෂක දෙපාර්තමේන්තුව සාගරයේ පළමු පෙළ තත්වයට පවා මුහුණ දීමට පූර්ව සුදානමකින් සිටී. එබැවින්, ශ්‍රී ලංකා වෙරළ ආරක්ෂක දෙපාර්තමේන්තුව සඳහා අවශ්‍ය මූලික උපකරණ ලබා ගැනීමට සැලසුම් කර ඇත.

ඇ. පුහුණු කටයුතු

වෘත්තීයමය වශයෙන් රාජකාරි ඉටු කිරීම සඳහා ශ්‍රී ලංකා වෙරළ ආරක්ෂක දෙපාර්තමේන්තු නිලධාරීන්ගේ සහ නාවිකයන්ගේ පුහුණුව අනිවාර්ය වේ. පහත දැක්වා ඇත්තේ එම පුහුණු වර්ධන වැඩසටහන්ය.

අ. සිවිල් පුද්ගලයින් සඳහා අතරමැදි හා ලෝකඩ පදක්කම් ජීවිතාරක්ෂක පුහුණු පාඨමාලා හඳුන්වාදීම.

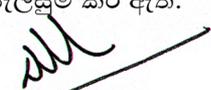
ආ. ශ්‍රී ලංවෙආ දෙපාර්තමේන්තුව සඳහා ජංගම ජීවිතාරක්ෂක පුහුණු කණ්ඩායමක් පිහිටුවීම.

ඇ. විදේශ රටවල් සමඟ සහයෝගීතාවයෙන් වැඩි දේශීය පුහුණු අවස්ථා ලබාදීම.

ඉ. තාක්ෂණික

බල බෙදාහැරීමේ පද්ධති වැඩි දියුණු කිරීම, දුරකථන හා දත්ත ජාලය වැඩිදියුණු කිරීම සහ නව රේඩාර් සහ විද්‍යුත් දෘෂ්‍ය උපාංග සමඟ සමුද්‍රීය සුපරීක්ෂණය වැඩි දියුණු කිරීම මගින් ශ්‍රී ලංකා වෙරළ ආරක්ෂක දෙපාර්තමේන්තුවේ මෙහෙයුම් ධාරිතාවය වැඩි කිරීමට ද සැලසුම් කර ඇත.

**GEWMAN EKANAYAKE, RSP & Bar, USP, Psc**  
Rear Admiral  
Director General - SLCG

  
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**පරිච්ඡේදය 03 – 2020 සෙැම්බර් 31 දිනෙන් අවසන් වූ වසර සඳහා වන සමස්ත මූල්‍ය කාර්ය සාධනය**

**3.1 මූල්‍ය කාර්යසාධන ප්‍රකාශය**

ඒසීඑ-එස්

**2020 දෙසැම්බර් 31 දිනෙන් අවසන් වර්ෂය සඳහා**

**මූල්‍ය කාර්යසාධන ප්‍රකාශය**

රු.

| අයවැය 2020 | සටහන                     | සත්‍ය |      |
|------------|--------------------------|-------|------|
|            |                          | 2020  | 2019 |
| -          | ආදායම් ලැබීම්            | -     | -    |
| -          | ආදායම් බදු               | 1     | -    |
| -          | දේශීය භාණ්ඩ හා සේවා      | 2     | -    |
| -          | මත බදු                   | 3     | -    |
| -          | ජාත්‍යන්තර වෙළඳාම මත බදු | 7     | -    |

ඒසීඑ-1

|               |  |   |                       |                       |           |
|---------------|--|---|-----------------------|-----------------------|-----------|
| -             | Taxes on Domestic Goods & Services                                   | 2 | -                     | -                     | ACA-1     |
| -             | Taxes on International Trade   | 3 | -                     | -                     |           |
| -             | Non Tax Revenue & Others   | 4 | -                     | -                     |           |
| -             | <b>Total Revenue Receipts (A)</b>                                    |   | -                     | -                     |           |
| -             | <b>Non Revenue Receipts</b>  |   | -                     | -                     |           |
| -             | Treasury Imprests  |   | 144,670,000.00        | 323,170,000.00        | ACA-3     |
| -             | Deposits   |   | 74,592.60             | 2,721,276.00          | ACA-4     |
| -             | Advance Accounts   |   | -                     | -                     | ACA-5     |
| -             | Other Receipts   |   | 481,487.53            | 3,613,274.00          |           |
| -             | <b>Total Non Revenue Receipts (B)</b>                                |   | <b>145,226,080.13</b> | <b>329,504,550.00</b> |           |
| -             | <b>Total Revenue Receipts &amp; Non Revenue Receipts C = (A)+(B)</b> |   | <b>145,226,080.13</b> | <b>329,504,550.00</b> |           |
|               | <b>Less: Expenditure</b>   |   |                       |                       |           |
| -             | <b>Recurrent Expenditure</b>   |   | -                     | -                     |           |
| 247,000.00    | Wages, Salaries & Other Employment Benefits                          | 5 | 211,339.06            | 131,134.00            | ACA-2(ii) |
| 49,665,000.00 | Other Goods & Services   | 6 | 48,869,840.82         | 70,985,826.00         |           |
| -             | Subsidies, Grants and Transfers                                      | 7 | -                     | -                     |           |
| -             | Interest Payments  | 8 | -                     | -                     |           |
| -             | Other Recurrent Expenditure  | 9 | -                     | -                     |           |
|               | <b>Total Recurrent</b>   |   | <b>49,081,179.88</b>  | <b>71,116,960.00</b>  |           |

|                |   |    |                       |                       |
|----------------|---|----|-----------------------|-----------------------|
| 49,912,000.00  | <b>Expenditure (D)</b>  |    |                       |                       |
|                | <b>Capital Expenditure</b>  |    |                       |                       |
| 140,587,000.00 | Rehabilitation & Improvement of Capital Assets                        | 10 | 126,516,811.07        | 212,741,579.00        |
| 23,071,000.00  | Acquisition of Capital Assets   | 11 | 18,507,612.22         | 42,082,709.00         |
| -              | Capital Transfers   | 12 | -                     | -                     |
| -              | Acquisition of Financial Assets                                       | 13 | -                     | -                     |
| 10,176,000.00  | Capacity Building   | 14 | 7,069,211.03          | 16,555,378.00         |
| -              | Other Capital Expenditure   | 15 | -                     | -                     |
| 173,834,000.00 | <b>Total Capital Expenditure (E)</b>                                  |    | <b>152,093,634.32</b> | <b>271,379,666.00</b> |
|                | <b>Main Ledger Expenditure (F)</b>                                    |    | -                     | -                     |
|                | <b>Deposit Payments</b>   |    | 305,150.00            | 2,710,201.00          |
|                | <b>Advance Payments</b>   |    | -                     | -                     |
|                | <b>Total Expenditure G = (D+E+F)</b>                                  |    | 201,479,964.20        | 345,206,827.00        |
| 223,746,000.00 | <b>Imprest Balance as at 31<sup>st</sup> December 2020. H = (C-G)</b> |    | (56,253,884.07)       | (15,702,277.00)       |

ACA-2(ii)

ACA-4

ACA-5

### 03.2 - Statement of Financial Position

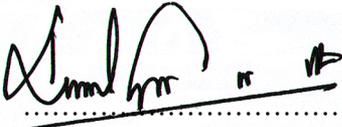
ACA-P

#### Statement of Financial Position

As at 31<sup>st</sup> December 2020

|                                     | Note       | Actual                  |                       |
|-------------------------------------|------------|-------------------------|-----------------------|
|                                     |            | 2020                    | 2019                  |
|                                     |            | Rs                      | Rs                    |
| <b><u>Non Financial Assets</u></b>  |            |                         |                       |
| Property, Plant & Equipment         | ACA-6      | 2,067,996,003.26        | 103,427,526.00        |
| <b><u>Financial Assets</u></b>      |            |                         |                       |
| Advance Accounts                    | ACA-5/5(a) | -                       | -                     |
| Cash & Cash Equivalents             | ACA-3      | -                       | -                     |
| <b>Total Assets</b>                 |            | <b>2,067,996,003.26</b> | <b>103,427,526.00</b> |
| <b><u>Net Assets / Equity</u></b>   |            |                         |                       |
| Net Worth to Treasury               |            | -                       | -                     |
| Property, Plant & Equipment Reserve |            | 2,067,926,885.06        | 103,427,526.00        |
| Rent and Work Advance Reserve       | ACA-5(b)   |                         |                       |
| <b><u>Current Liabilities</u></b>   |            |                         |                       |
| Deposits Accounts                   | ACA-4      | 69,118.20               | 299,675.00            |
| Imprest Balance                     | ACA-3      | -                       | -                     |
| <b>Total Liabilities</b>            |            | <b>2,067,996,003.26</b> | <b>103,727,201.00</b> |

Detail Accounting Statements in ACA format Nos. 1 to 6 presented in pages from ..... to..... and Notes to accounts presented in pages from ..... to ..... form and integral parts of these Financial Statements. The Financial Statements have been prepared in complying with the Generally Accepted Accounting Principles whereas most appropriate Accounting Policies are used as disclosed in the Notes to the Financial Statements and hereby certify that figures in these Financial Statements, Notes to accounts and other relevant accounts were reconciled with the Treasury Books of Accounts and found to in agreement.



Chief Accounting Officer

Name :

Designation :

Date: **General Kamal Gunaratne (Retd)**

WWW RWP RSP USP ndc psc MPil'

Secretary

Ministry of Defence,

03.3 Statement of Cash Flows



Accounting Officer

Name :

Designation :

Date :

GEWMAN EKANAYAKE, RSP & Bar, USP, Psc

Rear Admiral

Director General - SLCG



Chief Financial Officer/ Chief Accountant/

Director (Finance)/ Commissioner (Finance)

Name :

Date :

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ACA-C

**Statement of Cash Flows  
for the Period ended 31<sup>st</sup> December 2020**

|  | Actual                |                       |
|--|-----------------------|-----------------------|
|  | 2020<br>Rs.           | 2019<br>Rs.           |
| <b><u>Cash Flows from Operating Activities</u></b>               |                       |                       |
| Total Tax Receipts   | -                     | -                     |
| Fees, Fines, Penalties and Licenses                              | -                     | -                     |
| Profit   | -                     | -                     |
| Non Revenue Receipts   | 481,487.53            | -                     |
| Revenue Collected for the Other Heads                            | -                     | -                     |
| Imprest Received   | 144,670,000.00        | 329,504,550.00        |
| <b>Total Cash generated from Operations (a)</b>                  | <b>145,151,487.53</b> | <b>329,504,550.00</b> |
| <b><u>Less - Cash disbursed for:</u></b>                         |                       |                       |
| Personal Emoluments & Operating Payments                         | 48,474,157.88         | 131,134.00            |
| Subsidies & Transfer Payments                                    | -                     | 70,985,826.00         |
| Expenditure on Other Heads                                       | -                     | -                     |
| Imprest Settlement to Treasury                                   | -                     | 538,157.00            |
| <b>Total Cash disbursed for Operations (b)</b>                   | <b>48,474,157.88</b>  | <b>71,116,960.00</b>  |
| <b>NET CASH FLOW FROM OPERATING ACTIVITIES(C)<br/>)=(a)-( b)</b> | <b>96,677,329.65</b>  | <b>258,387,590.00</b> |

**Cash Flows from Investing Activities**

|   |          |          |
|---|----------|----------|
| Interest  | -        | -        |
| Dividends   | -        | -        |
| Divestiture Proceeds & Sale of Physical Assets            | -        | -        |
| Recoveries from On Lending                                | -        | -        |
| Recoveries from Advance                                   | -        | -        |
| <b>Total Cash generated from Investing Activities (d)</b> | <b>-</b> | <b>-</b> |

**Less - Cash disbursed for:**

|   |                      |                |
|---|----------------------|----------------|
| Purchase or Construction of Physical Assets & Acquisition of Other Investment | 96,446,772.25        | 258,387,590.00 |
| Advance Payments  | -                    | -              |
| <b>Total Cash disbursed for Investing Activities (e)</b>                      | <b>96,446,772.25</b> | <b>-</b>       |

**NET CASH FLOW FROM INVESTING ACTIVITIES (F)=(d)-(e)****(96,446,772.25) 258,387,590.00****NET CASH FLOWS FROM OPERATING & INVESTMENT ACTIVITIES (g)=(c) + (f)****230,557.40****Cash Flows from Financing Activities**

|   |                  |          |
|---|------------------|----------|
| Local Borrowings  | -                | -        |
| Foreign Borrowings  | -                | -        |
| Grants Received   | -                | -        |
| Deposit Received  | 74,592.60        | -        |
| <b>Total Cash generated from Financing Activities (h)</b> | <b>74,592.60</b> | <b>-</b> |

**Less - Cash disbursed for:**

|  |                   |          |
|--|-------------------|----------|
| Repayment of Local Borrowings                            | -                 | -        |
| Repayment of Foreign Borrowings                          | -                 | -        |
| Deposit Payments   | 305,150.00        | -        |
| <b>Total Cash disbursed for Financing Activities (i)</b> | <b>305,150.00</b> | <b>-</b> |

**NET CASH FLOW FROM FINANCING ACTIVITIES (J)=(h)-(i)****(230,557.40) -****Net Movement in Cash (k) = (g) -(j)****0 -****Opening Cash Balance as at 01<sup>st</sup> January****- -****Closing Cash Balance as at 31<sup>st</sup> December****- -**

### 03.4 Financial Statements - None

### 03.5 Performance of the Revenue Collection

Rs... ,000

| Revenue Code | Description of the Revenue Code | Revenue Estimate |       | Collected Revenue |                                  |
|--------------|---------------------------------|------------------|-------|-------------------|----------------------------------|
|              |                                 | Original         | Final | Amount (Rs)       | As a % of Final Revenue Estimate |
| None         | None                            | None             | None  | None              | None                             |

### 03.6 Performance of the Utilization of Allocation

| Type Of Allocation | Allocation |         | Actual Expenditure | Allocation Utilization as a % of Final Allocation |
|--------------------|------------|---------|--------------------|---|
|                    | Original   | Final   |                    |   |
| Recurrent          | 47,412     | 49,912  | 49,081             | 98.33   |
| Capital            | 173,834    | 173,834 | 152,093            | 87.49   |

### 03.7 In terms of F.R. 208 grant of allocations for expenditure to this Department/ District Secretariat/ Provincial Council as an agent of the other Ministries/ Department

| Serial No. | Allocation Received from Which Ministry / Department | Purpose of the Allocation | Allocation |       | Actual Expenditure | Allocation Utilization as a % of Final Allocation |
|------------|--|---------------------------|------------|-------|--------------------|---|
|            |  |                           | Original   | Final |                    |   |
| None       | None   | None                      | None       | None  | None               | None  |

### 03.8 Performance of the Reporting of Non-Financial Asset

Rs. ,000

| Asset Code | Code Description        | Balance as per Board of Survey Report as at 31.12.2019 | Balance as per financial Position Report as at 31.12.2020 | Yet to be Accounted | Reporting Progress as % |
|------------|-------------------------|--|---|---------------------|-------------------------|
| 9151       | Building and Structure  | 272,689  | 281,631   | -                   | 100                     |
| 9152       | Machinery and Equipment | 120,721  | 129,956   | -                   | 100                     |
| 9153       | Land                    | 1,640,028  | 1,640,028   | -                   | 100                     |
| 9154       | Intangible Assets       | -  | -   | -                   | -                       |
| 9155       | Biological Assets       | -  | -   | -                   | -                       |
| 9160       | Work in Progress        | 16,110   | 16,110  | -                   | 100                     |
| 9180       | Lease Assets            | -  | -   | -                   | -                       |

### 03.9 Report of the Auditor General

## Chapter 04 - Performance indicators

### 04.1 Performance indicators of the Institute (Based on the Action Plan)

| Specific Indicators  | Actual output as a percentage (%) of the expected output |             |           |
|--|--|-------------|-----------|
|  | 100% - 90%   | 75% - 89%   | 50% - 74% |
| Salaries and Wages - 1001<br>1) Payment of salary for A/Accountant.<br>2) Payment for Departmental Procurement Committee Members (More than 2 Mn).                     |  | √<br>85.56% |           |
| Domestic - 1101<br>Settlement of bills of high way charges for Official Visits.  | √<br>99.42%  |             |           |
| Stationary and Office Requisites - 1201<br>Full amount reserved to pay for bills of stationary requirement of SLCG.  | √<br>99.98%  |             |           |
| Fuel - 1202<br>Full amount reserved to pay for bills of fuel obtained from SL Navy to the CG vehicles.   | √<br>99.99%  |             |           |
| Diets and Uniforms - 1203<br>Full amount reserved to pay for bills of uniform item requirement of SLCG personnel.  | √<br>100.00%   |             |           |
| Other - 1205<br>Full amount reserved to pay for bills of general items requirement of SLCG.  | √<br>100.00%   |             |           |
| Vehicles - 1301<br>Full amount reserved to pay for bills of minor repairs and services of CG vehicles.   | √<br>99.99%  |             |           |
| Plant ,Machinery and Equipment - 1302<br>Full amount reserved to pay for bills of repairs and services of;<br>1) Generators.<br>2) OBMs.<br>3) Air Conditioner Plants. | √<br>99.99%  |             |           |
| Buildings and Structures - 1303<br>Full amount reserved to pay for bills of maintenance repairs of buildings allocated to SLCG.  | √<br>95.29%  |             |           |
| Transport - 1401<br>Full amount reserved to pay for bills of hiring of vehicles for;<br>1) Gulleybowsers.<br>2) Water bowsers.   | √<br>97.55%  |             |           |
| Postal and Communication – 1402<br>Full amount reserved to pay for bills of telephone and postal charges of SLCG   | √<br>99.83%  |             |           |
| Electricity and Water – 1403<br>Full amount reserved to pay for bills of electricity and water supply to SLCG.   | √<br>97.49%  |             |           |
| Rent and Local Taxes – 1404<br>Full amount reserved to pay for bills of taxes for lands of;<br>1) SLCG Waruna.<br>2) Oluvil.   | √<br>99.09%  |             |           |
| Other – 1409<br>Full amount reserved to pay for bills of General services of SLCG.   | √<br>99.97%  |             |           |
| Buildings and structures – 2001<br>Full amount reserved to pay for bills of Rehabilitation and Improvement of buildings at SLCG.                                       |  | √<br>89.87% |           |

|  |             |  |             |
|--|-------------|--|-------------|
| Plant ,Machinery and Equipment – 2002<br>Full amount reserved for settlement of expenses of Dry Docking of SLCG Suraksha (OPV )/SLCG craft and to pay bills from SL Navy for services provided to the SLCG Fleet.<br>1) Settlement of bills for repairs to machinery and equipment.<br>2) Settlement of bills for under water repair work.   | √<br>91.82% |  |             |
| Vehicles – 2003<br>Full amount reserved to pay for bills of major repairs of SLCG vehicles such as;<br>1) Major Overhul.<br>2) Tinkering and Painting.<br>3) Breakdowns.   | √<br>99.29% |  |             |
| Furniture and Office Equipment – 2102<br>Full amount reserved to settle the expenses of purchasing Furniture and Office Equipment requirements of SLCG.  |             |  | √<br>64.04% |
| Plant ,Machinery and Equipment – 2103<br>Full amount reserved to settle the expenses of purchasing Plant, Machinery and Equipment requirements of SLCG ships and establishments.   | √<br>98.77% |  |             |
| Buildings and Structures – 2104<br>Full amount reserved to settle the expenses related to renovation of building of;<br>1) Bathroom in VIP chalet, Junior sailors accommodation, sewerage and waste water treatment system and armouryat CGS Kirinda.<br>2) Gymnasium at SLCG Rohana.<br>3) Extension for wardroom two cabin at SLCG Waruna<br>4) Repair security fence at CGS Chilaw.<br>5) Renovation of Radar room at CGS Dehivala. | √<br>95.83% |  |             |
| Staff Training – 2401<br>Full amount reserved to settle the expenses of local and foreign training courses & enhance the training facilities of SLCG.  |             |  | √<br>69.47% |

## **Chapter 05- Performance of the achieving Sustainable Development Goals (SGD)**

### **05.1. Sustainable Development Goals**

| Goal/<br>Objective | Targets | Indicators of the<br>achievement | Progress of the Achievement to date |           |            |
|--------------------|---------|----------------------------------|-------------------------------------|-----------|------------|
|                    |         |                                  | 0% - 49%                            | 50% - 74% | 75% - 100% |
| Not initiated      | -       | -                                | -                                   | -         | -          |

**05.2** There are no any achievements or challenges since there was no any process or programme projected pertaining to Sustainable Development plan in Sri Lanka Coast Guard.

## **Chapter 06 – Human Recourse Profile**

### **06.1. Cadre Management**

|          | <b>Approved Cadre</b> | <b>Existing Cadre</b> | <b>Vacancies (Shortage)</b> |
|----------|-----------------------|-----------------------|-----------------------------|
| Officers | 125                   | 106                   | 19                          |
| Sailors  | 1500                  | 1301                  | 199                         |

**06.2** Presently, total personnel requirement of Sri Lanka Coast Guard is fulfilled by Sri Lanka Navy under secondment basis. In order to maintain the operational efficiency in SLCG, aforesaid existing cadre has been provided by SLN with a shortage against the approved cadre. This shortage of Coast Guard personnel has raised a problematical condition with the expansion of the Coast Guard hence regular duties are being carried out with certain difficulties.

### **06.3 Human Resource Development**

| Sr No | Name of the program   | NO. of staff trained | Duration of the program  | Total Investment (Rs'000) |              | Nature of the program (Abroad/ Local) | Output/ Knowledge Gained   |
|-------|---|----------------------|--------------------------|---------------------------|--------------|---------------------------------------|----------------------------|
|       |   |                      |                          | Local                     | Foreign      |                                       |                            |
| 1     | Attend Meeting at Bay Of Bengal Programme (BOBP) IUU Fishing                | 1                    | 24.02.2020<br>25.02.2020 |                           | 295,628.00   | India                                 | Coast Guard Related duties |
| 2     | International Maritime Officer Course                                       | 2                    | 22.08.2020<br>14.11.2020 |                           | 1,718,829.00 | USA                                   | Coast Guard Related duties |
| 3     | MSC - WMU (2019-2020)   | 1                    | 09.09.2019<br>01.11.2020 |                           | 405,282.00   | Sweden                                | Coast Guard Related duties |
| 4     | MSc Programme Safety and Environmental Administration Specialization in WMU | 3                    | 07.09.2020<br>01.11.2021 |                           | 642,953.88   | Sweden                                | Coast Guard Related duties |
| 5     | MSC JAPAN (2020.2021)   | 2                    | 27.09.2020<br>17.09.2021 |                           | 36,267.60    | Japan                                 | Coast Guard Related duties |
| 6     | VBSS Course Maldives (Vessel Board, Search and Seize (VBSS) Training        | 1                    | 30.08.2020<br>22.10.2020 |                           | 189,970.70   | Maldives                              | Coast Guard Related duties |
| 7     | 9th National Search and Rescue Exercise (SAREX-20) - Goa, India.            | 1                    | 04.03.2020<br>07.03.2020 |                           | 215,146.00   | India                                 | Coast Guard Related duties |

| Name of the programme | No. of staff Trained | Duration Of the program | Total Investment (Rs.'000) |         | Nature of the Program (Abroad/ Local) | Output/ Knowledge Gained*                       |
|-----------------------|----------------------|-------------------------|----------------------------|---------|---------------------------------------|---|
|                       |                      |                         | Local                      | Foreign |                                       |   |
| Ship boarding         | 04                   | 14 days                 |                            |         | Local                                 | Ability of ship boarding out at sea.            |
| Life Saving           | 61                   | 05 days                 |                            |         | Local                                 | Ability of performing lifesaving duty           |
| Oil spill             | 77                   | 10 days                 |                            |         | Local                                 | Ability of countering and managing an oil spill |

### **Chapter 07- Compliance Report**

| No  | Applicable Requirement   | Compliance Status (Complied/Not Complied) | Brief Explanation for Non Compliance  | Corrective Actions Propose to avoid Non-Compliance in future |
|-----|--|---|---|--|
| 01  | <b>The following Financial statements/accounts have been submitted on due date</b>                       |   |   |  |
| 1.1 | Annual financial statements  | Complied                                  |   |  |
| 1.2 | Advance to public officers account   | Not applicable                            | No such account is maintained, since all the personnel for SLCG have been attached from Sri Lanka Navy on secondment basis. |  |
| 1.3 | Trading and Manufacturing Advance Accounts (Commercial Advance Accounts)                                 | Not applicable                            | SLCG is not undertaking Trading and Manufacturing.  |  |
| 1.4 | Stores Advance Accounts  | No applicable                             | No such accounts in SLCG  |  |
| 1.5 | Special Advance Accounts   | No applicable                             | No such accounts in SLCG  |  |
| 1.6 | Others   | None                                      |   |  |
| 02  | <b>Maintenance of books and registers (FR445)/</b>   |   |   |  |
| 2.1 | Fixed assets register has been maintained and update in terms of Public Administration Circular 267/2018 | Complied                                  |   |  |
| 2.2 | Personal emoluments register/ Personal emoluments cards has been maintained and update                   | Not applicable                            | Personnel for SLCG have been attached from Sri Lanka Navy (SLN) on secondment basis and emoluments are paid by SLN.         |  |
| 2.3 | Register of Audit Queries Hass been Maintained and Update  | Complied                                  |   |  |
| 2.4 | Register of Internal Audit Report has been maintain and Update   | Complied                                  |   |  |

|      |   |                |  |  |
|------|---|----------------|--|--|
| 2.5  | All the monthly account summaries (CIGAS) are prepared and submitted to the Treasury on due date  | Complied       |  |  |
| 2.6  | Register for cheques and money orders has been maintained and update  | Complied       |  |  |
| 2.7  | Inventory register has been maintained and update   | Complied       |  |  |
| 2.8  | Stocks Register has been maintained and update  | Complied       |  |  |
| 2.9  | Register of Losses has been maintained and update   | Complied       |  |  |
| 2.10 | Commitment Register has been maintained and updated   | Complied       |  |  |
| 2.11 | Register of Counterfoil Books (GA- N20) has been maintained and updated   | Complied       |  |  |
| 03   | <b>Delegation of functions for financial control (FR 135)</b>   |                |  |  |
| 3.1  | The financial authority has been delegated within the institute   | Complied       |  |  |
| 3.2  | The delegation of financial authority has been communicated within the institute  | Complied       |  |  |
| 3.3  | The authority has been delegated in such manner so as to pass each transaction through two or more officers   | Complied       |  |  |
| 3.4  | The controls has been adhered to by the Accountants in terms of State Account Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package | Not applicable | Since, all personnel for SLCG have been attached from Sri Lanka Navy on secondment basis, emoluments are not prepared by SLCG. |  |
| 04   | <b>Preparation of Annual Plans</b>  |                |  |  |
| 4.1  | The annual action plan has been prepared  | Complied       |  |  |
| 4.2  | The annual procurement plan has been prepared   | Complied       |  |  |
| 4.3  | The annual Audit plan has been Prepared   | Complied       |  |  |
| 4.4  | The annual estimate has been prepared and submitted to the NBD on due date  | Complied       |  |  |
| 4.5  | The annual cash flow has been submitted to the Treasury Operation Department on time  | Complied       |  |  |
| 05   | <b>Audit queries</b>  |                |  |  |
| 5.1  | All The Audit Queries has been Replied within the Specified time by the Auditor General   | Complied       |  |  |
| 06   | <b>Internal Audit</b>   |                |  |  |
| 6.1  | The Internal Audit plan has been Prepared at the beginning of the year after consulting the Auditor General in terms of financial Regulation 134(2)DMA/1-2019     | Complied       |  |  |

|     |  |              |  |  |
|-----|--|--------------|--|--|
| 6.2 | All The Internal Audit Reports Has Been Replied Within One Month   | Complied     |  |  |
| 6.3 | Copies Of All The Internal Audit Reports Has Been Submitted To The Management Audit Department In Terms Of Sub-Section 40(4)Of The National Audit Act No.19of 2018                 | Complied     |  |  |
| 6.4 | All the copies of internal Audit reports has been submitted to the Auditor General in terms of Financial Regulation 134(3)   | Complied     |  |  |
| 07  | <b>Audit and Management Committee</b>  |              |  |  |
| 7.1 | Minimum 04 meetings of the Audi and management Committee has been held during the year as per the DMA Circular- 1-2019   | Complied     |  |  |
| 08  | <b>Asset Management</b>  |              |  |  |
| 8.1 | The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of Paragraph 07 of the Asset Management Circular No. 01/2017    | Complied     |  |  |
| 8.2 | A suitable liaison officer was appointed to coordinate the implementation of the provisions of   | Complied     |  |  |
| 8.3 | The boards of survey was conducted and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No 05/2016                            | Not Complied | As per the Public Finance Circular, the reports to be submitted on or before 15 <sup>th</sup> June 2021  |  |
| 8.4 | The Excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular | Not Complied | As per the Public Finance Circular, the reports to be submitted on or before 15 <sup>th</sup> April 2021 |  |
| 09  | <b>Vehicle Management</b>  |              |  |  |
| 9.1 | The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date   | Complied     |  |  |
| 9.2 | The condemned vehicles had been disposed of within a period of less than 6 months after condemning   | Complied     |  |  |
| 9.3 | The vehicle logbooks had been maintained and updated   | Complied     |  |  |
| 9.4 | The action has been taken in terms of F.R. 103, 104, 109 and 110 with regard to every vehicle accident   | Complied     |  |  |

|      |  |  |  |  |
|------|--|--|--|--|
| 9.5  | The fuel consumption of vehicles has been re-tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016  | Complied   |  |  |
| 9.6  | The absolute ownership of the leased vehicle log books has been transferred after the lease term   | Complied   |  |  |
| 10   | <b>Management of Bank Accounts</b>   |  |  |  |
| 10.1 | The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date   | Complied   |  |  |
| 10.2 | The dormant accounts that had existed in the year under review or since previous years settled   | Complied   |  |  |
| 10.3 | The action had been taken in terms of Financial Regulations regarding balances that had been disclosed through bank reconciliation statements and for which adjustments had to be made, and had those balances been settled within one month | Complied   |  |  |
| 11   | <b>Utilization of Provisions</b>   |  |  |  |
| 11.1 | The provisions allocated had been spent without exceeding the limit  | Complied   |  |  |
| 11.2 | The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94(1)  | Complied   |  |  |
| 12   | <b>Advances to Public Officers Account</b>   | Since, all personnel for SLCG have been attached from Sri Lanka Navy on secondment basis, no loans are issued by SLCG. |  |  |
| 12.1 | The limits had been complied with  | Not applicable   |  |  |
| 12.2 | A time analysis had been carried out on the loans in arrears   | Not applicable   |  |  |
| 12.3 | The loan balances in arrears for over one year had been settled  | Not applicable   |  |  |
| 13   | <b>General Deposit Account</b>   |  |  |  |
| 13.1 | The action had been taken as per F.R.571 in relation to disposal of Lapsed deposits  | Complied   |  |  |
| 13.2 | The control register for general deposits had been updated and maintained  | Complied   |  |  |
| 14   | <b>Imprest Account</b>   |  |  |  |
| 14.1 | The balance in the cash book at the end of the year under review remitted to TOD   | Complied   |  |  |

|      |  |  |                                   |                                 |
|------|--|--|-----------------------------------|---------------------------------|
| 14.2 | The ad-hoc sub imprests issued as per F.R. 371 settled within one month from the completion of the task  | Complied                                   |                                   |                                 |
| 14.3 | The ad-hoc sub imprests had been issued exceeding the limit approved as per F.R. 371   | Complied                                   |                                   |                                 |
| 14.4 | The balance of the imprest account had been reconciled with the Treasury books monthly   | Complied                                   |                                   |                                 |
| 15   | <b>Revenue Account</b>   | SLCG is not a revenue generated department |                                   |                                 |
| 15.1 | The refunds from the revenue had been made in terms of the regulations   | Not applicable                             |                                   |                                 |
| 15.2 | The revenue collection had been directly credited to the revenue account without credited to the deposit account   | Not applicable                             |                                   |                                 |
| 15.3 | Returns of arrears of revenue forward to the Auditor General in terms of FR 176  | Not applicable                             |                                   |                                 |
| 16   | <b>Human Resource Management</b>   |  |                                   |                                 |
| 16.1 | The staff had been paid within the Approved cadre  | Complied                                   |                                   |                                 |
| 16.2 | All members of the staff have been issued A duty list in writing   | Complied                                   |                                   |                                 |
| 16.3 | All reports have been submitted to MSD In terms of their circular no.04/2017 dated 20.09.2017  | Complied                                   |                                   |                                 |
| 17   | <b>Provision of Information to the public</b>  |  |                                   |                                 |
| 17.1 | An information officer has been appointed and a proper register of information is maintained and updated in terms of Right To Information Act and Regulation   | Complied                                   |                                   |                                 |
| 17.2 | Information about the institution to the public have been provided by Website or alternative measures and has it been facilitated to appreciate/ allegation to public Against the public authority by this website or alternative measures | Complied                                   |                                   |                                 |
| 17.3 | Bi-Annual and Annual reports have been submitted as per section 08 and 10 of the RTI Act   | Not complied                               | No any requests for the year 2020 |                                 |
| 18   | <b>Implementing citizen charter</b>  |  |                                   |                                 |
| 18.1 | A citizens charter/ Citizen client's charter has been formulated and implemented by the Institution in terms of the circular number 05/2008 and 05/2018 (1) of Ministry of Public Administration and Management                            | Not complied                               | Not yet complied                  | To be implemented in the future |

|           |   |                |  |                                 |
|-----------|---|----------------|--|---------------------------------|
| 18.2      | A methodology has been devised by the Institution in order to monitor and assess the formulation and the implementation of Citizens Charter / Citizen client's charter as per paragraph 2.3 of the circular   | Not complied   | Not yet complied                                     | To be implemented in the future |
| <b>19</b> | <b>Preparation of the Human Resources Plan</b>  |                |  |                                 |
| 19.1      | A human resource plan has been prepared in terms of the format in Annexure 02 of public Administration Circular No. 02/2018 dated 24.01.2018  | Not complied   | Not yet prepared                                     | To be prepared                  |
| 19.2      | A minimum training opportunity of not less than 12 hours per year for each member of the staff been ensured in the aforesaid human Resource Plan  | Complied       |  |                                 |
| 19.3      | Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular  | Not applicable | The annual performance agreement has not been signed | To be signed                    |
| 19.4      | A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programmes and conducting skill development programmes as per paragraphs No.6.5 of the aforesaid circular | Complied       |  |                                 |
| <b>20</b> | <b>Responses Audit Paras</b>  |                |  |                                 |
| 20.1      | The short comings Pointed out in the audit paragraphs issued by the Auditor general For the Previous Years have been ratified   | Complied       |  |                                 |